SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

## Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			or Se	ction 30(n) o	f the Investment Company Act of 1	1940				
1. Name and Address of Reporting Person* <u>Bain Capital Life Sciences</u> <u>Investors, LLC</u>			2. Date of Event Requiring Statement (Month/Day/Year) 09/12/2019		3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>SpringWorks Therapeutics, Inc.</u> [ SWTX ]					
,					4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date of Original Filed		
(Last)	(First)	(Middle)			(Check all applicable) Director X	10% Owne	I	(Month/Day/Year)		
200 CLAREN	IDON STREET				Officer (give title	Other (specify		6. Individual or Joint/Group Filing (Check		
					below)	below)	· 1	Applicable Line)		
(Street)								Form filed h	y One Reporting Person y More than One	
BOSTON	MA	02116						X Reporting P		
(City)	(State)	(Zip)								
			Table I - No	on-Deriva	tive Securities Beneficia	lly Owned				
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4) Beneficially Owned (Instr. 4) Or Indirect (I) (Instr. 5) Beneficial O (Instr. 5)		Beneficial Ownership			
		(e			ve Securities Beneficially ants, options, convertible		s)			
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)				4. Convers or Exerc Price of	ise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivativ Security	ve or Indirect		
Series A Convertible Preferred Stock			(1)	(1)	Common Stock	6,078,103	(1)	I	See Footnote <sup>(3)(4)</sup>	
Series B Convertible Preferred Stock			(2)	(2)	Common Stock	1,053,204	(2)	I	See Footnote <sup>(3)(4)</sup>	
(Last)	(First) IDON STREET	<u>es Investors, I</u> (Middle		_						
BOSTON MA 02116			5	_						
(City)	(State)	(Zip)								
1. Name and Add BC SW, LP	dress of Reporting F	Person <sup>*</sup>								
(Last) (First) (Middle) 200 CLARENDON STREET										
(Street) BOSTON MA 02116			3	_						
(City) (State) (Zip)										
	dress of Reporting F Effrey Lawren									
(Last) 200 CLAREN	(First) IDON STREET	(Middle	2)	_						
(Street) BOSTON	МА	02116	;	_						
(City)	(State)	(Zip)								

1. Name and Address of Reporting Person* $\underline{Koppel Adam}$									
(Last)	(First)	(Middle)							
200 CLARENDON STREET									
(Street)									
BOSTON	MA	02116							
(City)	(State)	(Zip)							

## Explanation of Responses:

1. Each share of Series A Convertible Preferred Stock is convertible into shares of the Issuer's Common Stock on a 6.5810:1 basis. Immediately prior to the closing of the Issuer's initial public offering, all shares of Series A Convertible Preferred Stock will convert into shares of Common Stock of the Issuer. The Series A Convertible Preferred Stock has no expiration date.

2. Each share of Series B Convertible Preferred Stock is convertible into shares of the Issuer's Common Stock on a 6.5810:1 basis. Immediately prior to the closing of the Issuer's initial public offering, all shares of Series B Convertible Preferred Stock will convert into shares of Common Stock of the Issuer. The Series B Convertible Preferred Stock has no expiration date.

3. Represents 40,000,000 shares of Series A Convertible Preferred Stock and 6,931,142 shares of Series B Convertible Preferred Stock held directly by BC SW, L.P. Bain Capital Life Sciences Investors, LLC ("BCI LS"), whose managers are Jeffrey Schwartz and Adam Koppel, is the general partner of BC SW, L.P. As a result, each of BCI LS, Mr. Schwartz and Dr. Koppel may be deemed to share voting and dispositive power with respect to the securities held by BC SW, L.P. BCI LS, Mr. Schwartz and Dr. Koppel each disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.

4. Jeffrey Schwartz is a director of the Issuer.

## **Remarks:**

BAIN CAPITAL LIFE SCIENCES INVESTORS, LLC, By: /s/ Jeffrey Schwartz, Name: Jeffrey Schwartz, Title: Managing Director	<u>09/12/2019</u>
BC SW, L.P., By: Bain Capital Life Sciences Investors, LLC, its general partner, By: /s/ Jeffrey Schwartz, Name: Jeffrey Schwartz, Title: Managing Director	<u>09/12/2019</u>
<u>/s/ Jeffrey Schwartz</u>	<u>09/12/2019</u>
<u>/s/ Adam Koppel</u>	<u>09/12/2019</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.