SEC Form 4

Instruction 1(b)

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	OMB Number:
	Estimated average burden
Filed purcuant to Section 16(a) of the Securities Exchange Act of 1034	hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			or Section 30(II) of the investment Company Act of 1940						
			2. Issuer Name and Ticker or Trading Symbol <u>SpringWorks Therapeutics, Inc.</u> [SWTX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner					
1	(First) (Middle) SPRINGWORKS THERAPEUTICS, INC. WASHINGTON BLVD.		3. Date of Earliest Transaction (Month/Day/Year) 03/29/2019	Officer (give title Other (specify below) below)					
(Street) STAMFORD (City)	CT (State)	06902 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
	. ,		l erivative Securities Acquired, Disposed of, or Bene	ficially Owned					

1. Title of Security (Instr. 3)	Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (l 8)	ction	Disposed Of (D) (Instr. 3, 4 and 5)			Following	(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(1130.4)	l

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puis, cails, warrants, options, convertible securities)											nacsj					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		nderlying Derivative ecurity Security		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			
Stock Option (Right to Buy)	\$18	09/12/2019		A		29,983		(1)	09/12/2029	Common Stock	29,983	\$0	29,983	D		
Junior Series A Convertible Preferred Stock	(2)	03/29/2019		A		6,437,500		(2)	(2)	Common Stock	978,194	(2)	978,194	I	By Pfizer Inc. ⁽³⁾	
Series A Convertible Preferred Stock	(4)	03/29/2019		A		20,000,000		(4)	(4)	Common Stock	3,039,051	(4)	3,039,051	I	By Pfizer Inc. ⁽³⁾	
Series B Convertible Preferred Stock	(5)	03/29/2019		A		3,465,571		(5)	(5)	Common Stock	526,602	(5)	526,602	I	By Pfizer Ventures (US) LLC ⁽⁶⁾	

Explanation of Responses:

1. This option shall vest in equal monthly installments over the period of thirty six (36) months following September 12, 2019, subject to continued service to the Issuer on each vesting date.

2. On March 29, 2019, pursuant to a corporate reorganization, all of the equity interests in SpringWorks Therapeutics, LLC were exchanged for the same number and class of newly issued securities of SpringWorks Therapeutics, Inc. Pursuant to the reorganization, Pfizer Inc. ("Pfizer") received 6,437,500 shares of Junior Series A Convertible Preferred Stock of the Issuer. Each share of Junior Series A Convertible Preferred Stock of the Issuer's of the Issuer's Common Stock on a 6,5810:1 basis. Immediately prior to the closing of the Issuer's initial public offering, all shares of Junior Series A Convertible Preferred Stock has no expiration date.

3. Shares held by Pfizer. The reporting person is employed by Pfizer. The reporting person has no voting or dispositive power over the shares held by Pfizer or Pfizer Ventures (US) Holdings ("Pfizer Ventures"), a wholly owned subsidiary of Pfizer, and disclaims beneficial ownership of all such shares.

4. On March 29, 2019, pursuant to a corporate reorganization, all of the equity interests in SpringWorks Therapeutics, LLC were exchanged for the same number and class of newly issued securities of SpringWorks Therapeutics, Inc. Pursuant to the reorganization, Pfizer received 20,000,000 shares of Series A Convertible Preferred Stock of the Issuer. Each share of Series A Convertible Preferred Stock is convertible into shares of the Issuer's Common Stock on a 6.5810:1 basis. Immediately prior to the closing of the Issuer's initial public offering, all shares of Series A Convertible Preferred Stock will convert into shares of Common Stock of the Issuer. The Series A Convertible Preferred Stock has no expiration date.

5. On March 29, 2019, Pfizer Ventures acquired 3,465,571 shares of Series B Convertible Preferred Stock of the Issuer. Each share of Series B Convertible Preferred Stock is convertible into shares of the Issuer's Common Stock on a 6.5810:1 basis. Immediately prior to the closing of the Issuer's initial public offering, all shares of Series B Convertible Preferred Stock will convert into shares of Common Stock of the Issuer. The Series B Convertible Preferred Stock has no expiration date.

6. Shares held by Pfizer Ventures. The reporting person is employed by Pfizer. The reporting person has no voting or dispositive power over the shares held by Pfizer or Pfizer Ventures and disclaims beneficial ownership of all such shares.

<u>/s/ Michael V. Greco as</u> <u>Attorney-in-Fact</u>

09/16/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.